City of Los Angeles Responsible Banking Investment Monitoring Program For Investment Banks

Investment banks providing City investment banking services or seeking City investment banking business must complete and submit this form no later than July 1st of each year to the City Administrative Officer to comply with Chapter 5.1, Section 20.95.1 of the Los Angeles Administrative Code.

Contact Information:

Goldman, Sachs & Co.

Name of Financial Institution 2121 Avenue of the Stars, Suite	2600 Los Angeles	CA	90067
Street Address Ruth Pan, Vice President	City	State	Zip Code
Contact Person Name and Title (310) 407-5848	ruth.pan@gs.com		
Telephone No.	Email Address		

Please answer the following questions for the preceding calendar year.

- Did your firm make monetary donations to charitable programs within the City limits? Yes X No If yes, please complete the attached form.
- 2. Did your firm provide any scholarship awards to residents of the City of Los Angeles? Yes X^* No ____
 - a. How many scholarships were awarded? * (please see footnote below)
 - b. What was the total value of the awarded scholarships? _____
- Does your firm have internal policies regarding utilization of subcontractors which are designated as "women owned," "minority owned," or "disabled" business enterprises? Yes X No ___ If yes, please provide a copy of your policies.

Goldman Sachs strives to provide diverse businesses with the opportunity to compete on a fair and equal basis for our business. Policies can be found at: http://www.goldmansachs.com/who-we-are/diversity-and-inclusion/vendor-diversity/index.html CERTIFICATION UNDER PENALTY OR PERJURY

I certify under penalty of perjury that I have read and understand the questions contained in this form and the responses contained in the form and on all the attachments. I further certify that I have provided full and complete answers to each question, and that all information provided in response to this form is true and accurate to the best of my knowledge and belief.

Ruth Pan, Vice President	Kuth le	8/12/2014
Print Name, Title	🖉 Sìgnature	Date

PLEASE SEND THE ORIGINAL SIGNED FORM TO THE ADDRESS BELOW AND EMAIL A COPY TO CAO.DEBT@LACITY.ORG.

Office of the City Administrative Officer 200 North Main St. Room 1500 Los Angeles, CA 90012 Attention: Debt Management Group

*In 2013, Goldman Sachs made several grants to Los Angeles area schools (e.g., UCLA and USC) that are used for need-based financial aid but the Firm does not track if individual recipients of such aid reside within City limits.

Goldman Sachs: Calendar Year 2013 Charitable Contributions in City of Los Angeles

Name of Charitable Organization	Туре	Amount (\$)
A Place Called Home	Firm Direct Contribution	\$ 1,000.00
American Lung Association in California	Firm Direct Contribution	1,000.00
Angels At Risk	Firm Direct Contribution	500.00
Anti-Defamation League	Firm Direct Contribution	6,000.00
Bet Tzedek, The House of Justice	Firm Direct Contribution	10,000.00
California Hospital Medical Center Foundation	Firm Direct Contribution	4,000.00
California Science Center Foundation	Firm Direct Contribution	1,500.00
Catholic Big Brothers Big Sisters	Firm Direct Contribution	1,500.00
Center Theatre Group of Los Angeles	Firm Direct Contribution	1,158.00
Children's Bureau	Firm Direct Contribution	5,000.00
City Year Los Angeles	Firm Direct Contribution	1,000.00
Community Partners	Firm Direct Contribution	1,000.0
Covenant House California	Firm Direct Contribution	500.00
Crohn's and Colitis Foundation of America	Firm Direct Contribution	150.00
Downtown Women's Center	Firm Direct Contribution	150.00
Epilepsy Foundation of Greater Los Angeles	Firm Direct Contribution	5,000.00
Friends of Third Street School	Firm Direct Contribution	1,000.00
Fulfillment Fund	Firm Direct Contribution	15,000.00
Hirshberg Foundation for Pancreatic Cancer Research	Firm Direct Contribution	100.00
Inner-City Arts	Firm Direct Contribution	1,000.00
Jewish Big Brothers Big Sisters of Los Angeles	Firm Direct Contribution	1,000.00
Jewish Vocational Service	Firm Direct Contribution	1,250.00
Kids In Sports	Firm Direct Contribution	5,000.00
LA's Promise	Firm Direct Contribution	1,500.00
Los Angeles Conservancy	Firm Direct Contribution	1,750.00
Los Angeles County Museum of Art / Museum Associates	Firm Direct Contribution	12,750.00
Los Angeles Museum of The Holocaust	Firm Direct Contribution	500.00
Los Angeles Philharmonic Association	Firm Direct Contribution	19,000.00
Los Angeles Regional Food bank	Firm Direct Contribution	1,000.00
Los Angeles Team Mentoring	Firm Direct Contribution	10,000.00
Los Angeles Zoo & Botanical Gardens	Firm Direct Contribution	3,000.00
Loyola High School	Firm Direct Contribution	1,650.00
Museum of Contemporary Art	Firm Direct Contribution	5,000.00
Nancy Davis Foundation for Multiple Sclerosis	Firm Direct Contribution	8,000.00
National Children's Chorus	Firm Direct Contribution	1,000.00
Natural History Museum of Los Angeles County	Firm Direct Contribution	2,500.00
Network for Teaching Entrepreneurship	Firm Direct Contribution	1,000.00
Our House	Firm Direct Contribution	250.00

Attachment for Question #1 - Responsible Banking Investment Monitoring Program for Investment Banks

Sinai Skiba Academy Stop Cancer Strive Temple Beth AM UCLA Foundation	Firm Direct Contribution Firm Direct Contribution Firm Direct Contribution Firm Direct Contribution Firm Direct Contribution	500.00 1,000.00 1,000.00 2,000.00 20,100.00
Union Rescue Mission	Firm Direct Contribution	1,500.00
United Way of Greater Los Angeles	Firm Direct Contribution	26,500.00
University of Southern California	Firm Direct Contribution	3,000.00
University of Southern California Ross Minority Program in Real Estate	Firm Direct Contribution	3,000.00
USC Shoah Foundation Institute for Visual History and Education	Firm Direct Contribution	10,000.00
Veterans Home of California	Firm Direct Contribution	1,500.00
Weingart Center Association	Firm Direct Contribution	8,000.00
Wise School	Firm Direct Contribution	500.00
	Subtotal	\$ 211,308.00
Al Wooten Jr. Youth and Adult Cultural-Educational Center	Goldman Sachs Gives (Donor Advised Fund) Grant	\$ 10,000.00
Autism Speaks, Inc.	Goldman Sachs Gives (Donor Advised Fund) Grant	5,000.00
C5 Youth Foundation of Southern California, Inc.	Goldman Sachs Gives (Donor Advised Fund) Grant	5,000.00
City Year, Inc.	Goldman Sachs Gives (Donor Advised Fund) Grant	25,000.00
Community Partners	Goldman Sachs Gives (Donor Advised Fund) Grant	25,000.00
Good Samaritan Hospital	Goldman Sachs Gives (Donor Advised Fund) Grant	15,000.00
Harvard-Westlake School	Goldman Sachs Gives (Donor Advised Fund) Grant	75,000.00
John Thomas Dye School	Goldman Sachs Gives (Donor Advised Fund) Grant	100,000.00
Jonsson Cancer Center Foundation-UCLA	Goldman Sachs Gives (Donor Advised Fund) Grant	5,000.00
LAs Promise	Goldman Sachs Gives (Donor Advised Fund) Grant	100,000.00
Los Angeles Gay & Lesbian Community Services Center, Inc.	Goldman Sachs Gives (Donor Advised Fund) Grant	5,000.00
Los Angeles Police Foundation	Goldman Sachs Gives (Donor Advised Fund) Grant	10,000.00
Los Angeles Regional Food Bank	Goldman Sachs Gives (Donor Advised Fund) Grant	10,000.00
Los Angeles Team Mentoring, Inc.	Goldman Sachs Gives (Donor Advised Fund) Grant	10,000.00
Loyola High School of Los Angeles	Goldman Sachs Gives (Donor Advised Fund) Grant	60,000.00
Marlborough School	Goldman Sachs Gives (Donor Advised Fund) Grant	30,000.00
Marymount High School	Goldman Sachs Gives (Donor Advised Fund) Grant	10,000.00
Museum Associates	Goldman Sachs Gives (Donor Advised Fund) Grant	100,000.00
Operation USA	Goldman Sachs Gives (Donor Advised Fund) Grant	95,000.00
Our House, Inc.	Goldman Sachs Gives (Donor Advised Fund) Grant	3,000.00
Pacific Council on International Policy	Goldman Sachs Gives (Donor Advised Fund) Grant	50,000.00
Saving Spot Rescue	Goldman Sachs Gives (Donor Advised Fund) Grant	1,000.00
Sound Body Sound Mind Foundation	Goldman Sachs Gives (Donor Advised Fund) Grant	10,000.00
Survivors of the Shoah Visual History Foundation	Goldman Sachs Gives (Donor Advised Fund) Grant	10,000.00
The UCLA Foundation	Goldman Sachs Gives (Donor Advised Fund) Grant	45,000.00
The Workforce Investment Board of the City of Los Angeles	Goldman Sachs Gives (Donor Advised Fund) Grant	500,000.00
University of Southern California	Goldman Sachs Gives (Donor Advised Fund) Grant	63,500.00
	Subtotal	\$ 1,377,500.00